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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 3 3 ch 02-18-02

Applicant:

Larry A. Coldren et al.

Examiner:

Not Assigned

Serial No.:

09/935,012

Group Art Unit:

2881

Filed:

August 21, 2001

Docket:

510015-265

Due Date:

N/A

Date Mailed:

January 16, 2002

Title:

DISTRIBUTED BRAGG REFLECTORS INCORPORATING SB MATERIAL FOR LONG-WAVELENGTH

VERTICAL CAVITY SURFACE EMITTING LASERS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 16, 2002.

Commissioner for Patents Washington, D.C. 20231

Information Disclosure Statement

Sir:

This document is an Information Disclosure Statement to the above-cited patent application.

Attached hereto is at least one Form PTO-1449 listing documents believed relevant to the subject application. The submission of the following information is not intended, nor should it be construed, to constitute an admission that any patent, article, or other information referred to herein is "prior art" unless specifically designated as such. In accordance with 37 C.F.R. § 1.97(b) the filing of this information shall not be construed to mean that a search has been made or that no other material information may exist. Neither should it submission be construed to indicate that a thorough search should not be conducted by the Examiner.

It is believed that this disclosure complies with the requirements of 37 C.F.R. § 1.56, § 1.97, and § 1.98 and the Manual of Patent Examining Procedures § 707.05(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be telephoned so that any deficiencies can be remedied.

This Information Disclosure Statement is being submitted before the mailing of an Office Action on the merits on the above-identified application. Therefore, no fee is due for submission of this Information Disclosure Statement, pursuant to 37 C.F.R. § 1.97(b)(3). If an Office Action on the merits has been mailed prior to the submission of this Information Disclosure Statement, authorization is hereby given to charge the required fee under 37 C.F.R. § 1.97(c) and 37 C.F.R. § 1.17(p) to Deposit Account No. 16-2230. A duplicate of this sheet is enclosed.

A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings. These documents are not necessarily analogous art. Additionally, the order of the following documents is to be accorded no particular import as the order thereof is completely fortuitous.

Marc Bobys, Reg. No. 45,267

Attorney for Applicants

U.S. Serial No. 09/935,012

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) represented on any patent which may issue on the application. Applicants respectfully request that copies of the PTO-1449 forms, as considered and initialed by the Examiner, be returned with the next communication.

Dated: January 16, 2002

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